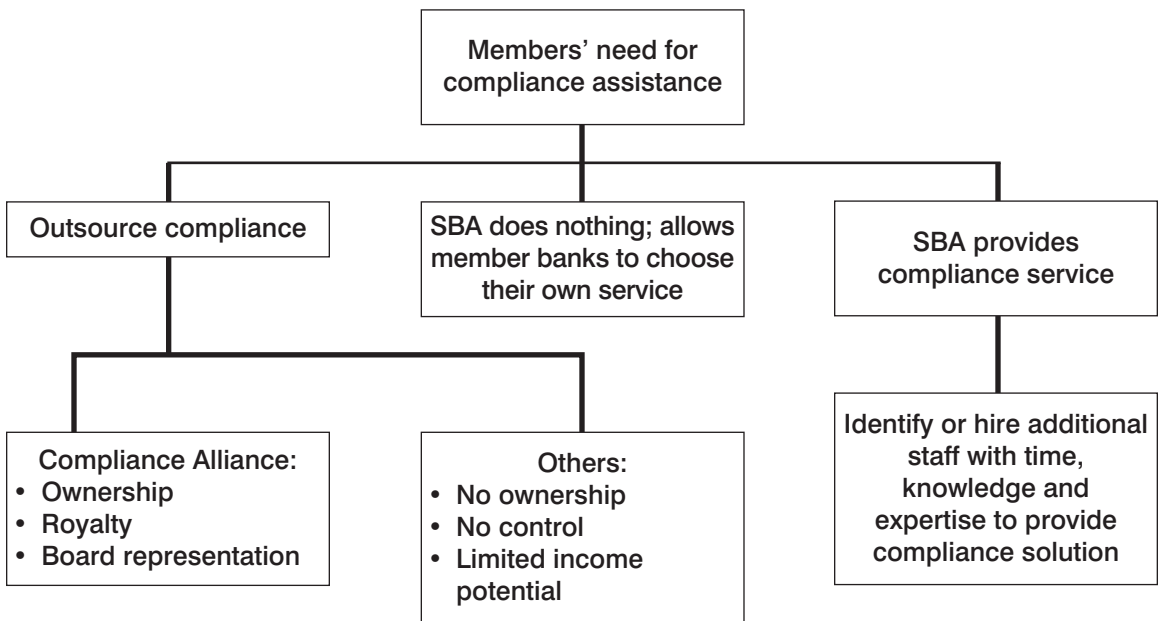


Compliance Alliance Competitive Advantage

Use this check list when evaluating other companies and comparing them to Compliance Alliance.

	Compliance Alliance	Others
	Services Included	
Cliff Notes on New Regulations (white papers) Executive summary written in easy to understand terms	✓	
Policy Templates	✓	
Procedures Taking you through all steps —	✓	
Processes from introduction of a new regulation to policy in place	✓	
Action Plans	✓	
Lending Matrices For regulations, compliance requirements and required actions	✓	
Compliance Hotline Talk to a real person to get your compliance questions answered in real time	✓	
Cheat Sheets	✓	
Checklists Quick reference guides	✓	
Worksheets	✓	
Compliance Calendar Continually updated calendar of implementation dates and effective dates	✓	
Risk Assessment Tools	✓	
Tracking Tools	✓	
Review of Advertising and Marketing Review of new marketing and advertising materials to make sure they are compliant	✓	
Evaluate new products to ensure compliance	✓	
Review Disclosures to ensure compliance	✓	
Training Tools Tools to train bank staff on new regulations, policies, procedures and requirements	✓	
Statutes	✓	
Regulations	✓	
Rules	✓	
Interpretations Opinion letters, guidance, FIL, etc.	✓	
Compliance Webinars and Podcasts Monthly regulatory specific presentations on timely topics	✓	
Compliance Newsletter Monthly newsletter to highlight compliance need-to-know and hot-topics	✓	
Forms Sample and model forms	✓	
Website Member access to products and services	✓	



Questions to consider when selecting your compliance partner:

- Do they include policy and procedure templates or simply review the policies and procedures that the bank creates? Do they have policies and procedures that they up sell for an added cost?
- Do they personally answer compliance questions by phone or do they answer on a blog? Do they spend time discussing the issue and working through it to a solution?
- Do they provide training tools to enable the bank (during a staff meeting) to train the necessary bank staff on a regulation?
- Does their staff focus exclusively on bank compliance/regulatory issues?
- Do they have conflicts of interest by also performing independent audits?
- Have any of their staff worked in a bank?
- Do they offer input through a board position?
- Do they offer ownership and royalty opportunities?